| Nomination Form for Demat Accounts and Mutual Fund (MF) Folios [SEBI/HO/OIAE/OIAE_IAD-3/P/ON/2025/01650, dated January 10, 2025] UCC | | | | | | | | | | | | | | | | | |
|--|---|--|--|--|-----------------------------------|-------------------------|-----------------------------------|-----------------------|------------------------|----------------------------|------------|------------|--------|-----------|---------|----------|--------|
| TM/DP MANUBHAI MANGALDAS SECURITIES PVT. LTD. | | | | | | | | | DP ID | 1 | 2 (|) 3 | 3 2 | 1 | 0 | 0 | |
| Name & Address 401, 4 TH Floor, J.P. Road, opp Indian Oil Nagar, Andheri (West), Mu | | | | ımbai - 400053 | | Nomination Registration | on No. | Dated | Client ID | 0 | 0 | | | | | _ | |
| ☐ I/We wish to make a nomination [Annexure A] | | | | ☐ ☐ I/We do not wish to make a nomination [Annexure B] | | | | | | | 一 | | | | | | |
| | ation details given below] | | | | | | nee(s) in my/our MF Folio/dema | | | ssues involved in | non-appoi | ntment o | f nomi | nee(s) an | d furth | er are a | aware |
| | inate the following person(s) who shall rec | | | | that in case of death of | all the accour | t holder(s), my/our legal heirs w | ould need t | o submit all the re | quisite documents, | /informati | on for cla | iming | of assets | held ir | n my/ou | r MF |
| account/folio in the event of my/our demise, as trustee and on behalf of my/our legal heir(s) * Folio/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio/demat account, which may also include documents issued by Court or other such competent authority. | | | | | | | | unt. | | | | | | | | | |
| Mandatory De | | | | | | | | | | | nal | Deta | - | | | | |
| Nominee | inee Name of nominee Share of nominee ⊗ ** Relationship | | | | Postal Address Mobile No. & Email | | | lobile No. & Email | Identity Number *** | D.O.B. of Guardian nominee | | | | | | | |
| 1. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 2. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 3. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 4. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 5. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 6. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 7. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 8. First Name | | | | | | | | | | | | | | | | | \neg |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 9. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |
| 10. First Name | | | | | | | | | | | | | | | | | |
| Middle Name | | | | | | | | | | | | | | | | | |
| Last Name | | | | | | | | | | | | | | | | | |

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| 4 | Inint | Accor | inte |
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| Event | Transmission of Account / Folio to | | | | |
|---|---|--|--|--|--|
| Demise of one or more joint holder(s) | Surviving holder(s) through name deletion | | | | |
| | The surviving holder(s) shall inherit the assets as owners. | | | | |
| Demise of all joint holders simultaneously – having nominee | Nominee | | | | |
| Demise of all joint holders simultaneously – not having nominee | Legal heir(s) of the youngest holder | | | | |

- if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects').
- Provide only number: PAN or Driving License or Aadhaar (last 4). Copy of the document is not required.
- **** to be furnished only in the following conditions/circumstances:

| • | Date of Birth (DoB): please provide Guardian: It is optional for you to | | | | |
|-----------|--|--|---------------------|-------------|--|
| 1) | I / We want the details of my / our / DP as follows; (please tick, as app | | ited in the stateme | nt of holdi | ing, provided to me/us by the AMC |
| | ☐ Name of nominee(s) | | | | Nomination: Yes / No |
| 2) | I hereby authorize | the account/folio or levant) | r Rs | _• | ner) to operate my account on He/She is authorized to encash my |
| <u>т,</u> | Name(s) of holde | • • | Signature(s) of | | Witness Signature* |
| S | Sole / First | | | | |
| S | Second Holder | | | | |
| Т | Third Holder | | | | |
| * S | Signature of two witness(es), along with nan Name & Address Witness -1 | me and address are requi Name & Address \ | | | humb impression, instead of wet signature. Address Witness -1 |
| | | | | | |

Rights, Entitlement and Obligation of the investor and nominee:

- If your are opening a new demat account/MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitiled to recive acknowledgement from the AMC/DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either contiune as joint holders with other nominees or for each nominee(s) to open separate single account/folio.
- In case all your nominees do not claim the assets from the AMC/DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account/folio, if case of your physical incapciation. This mandate can be changed any time you choose.
- The signatories for this nomination form in joint folios/account, shall be the same as that of your joint MF folio/demat account. i.e.
 - 'Either or Survivor' Folios/Accounts any one of the holder can sign
 - Jointly' Folios / Accounts both holders have to sign.

Transmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of thedeceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

| % share as specifi | ed by investor at | % assets to be apportioned to surviving nominees upon demise | | | | | |
|--------------------|-------------------|--|-----------|-------------------|---------------|--|--|
| the time of no | mination | of investor and nominee 'A' | | | | | |
| Nominee % share | | Nominee | % initial | % of A's share to | Total % share | | |
| | | | share | be apportioned | | | |
| А | 60% | А | 0 | 0 | 0 | | |
| В | 30% | В | 30% | 45% | 75% | | |
| С | C 10% | | 10% | 15% | 25% | | |
| Total 100% | | - | 40% | 60% | 100% | | |